FORM PTO-1390 (REV. 5-93)

U.S. DEPARTMENT OF COMMERCE PAT ND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER 10191/16

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/720761

INTERNATIONAL APPLICATION NO. PCT/DE00/00821	INTERNATIONAL FILING DATE					
TITLE OF INVENTION METHOD OF PLASMA ETCHING OF SILICON						
APPLICANT(S) FOR DO/EO/US						
LAERMER, Franz; SCHILP, Andrea; ELSNER, Bernhard						
Applicant(s) herewith submit to the United States Designated/E	Elected Office (DO/EO/US) the following items and	d other information				
This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.						
2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission o	f items concerning a filing under 35 U.S.C. 371.					
3.  This is an express request to begin national examin the expiration of the applicable time limit set in 35 U		ather than delay examination until				
4. A proper Demand for International Preliminary Exam	nination was made by the 19th month from the ear	liest claimed priority date.				
5. 🖸 — A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a.   is transmitted herewith (required only if not transm	a. 🔲 is transmitted herewith (required only if not transmitted by the International Bureau).					
b. ⊠ has been transmitted by the International Bureau.						
c. $\square$ is not required, as the application was filed in the $\mathfrak t$	United States Receiving Office (RO/US)					
6. 🖾 A translation of the International Application into En	glish (35 U.S.C. 371(c)(2)).					
7.   Amendments to the claims of the International Appli	cation under PCT Article 19 (35 U.S.C. 371(c)(3))					
a.   are transmitted herewith (required only if not trans	mitted by the International Bureau).					
b. $\square$ have been transmitted by the International Bureau						
c. $\square$ have not been made; however, the time limit for m	aking such amendments has NOT expired.					
d.⊠ have not been made and will not be made.						
8. A translation of the amendments to the claims unde	r PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. An oath or declaration of the inventor(s) (35 U.S.C.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned).					
10. A translation of the annexes to the International Pre	liminary Examination Report under PCT Article 36	(35 U.S.C. 371(c)(5)).				
Items 11. to 16. below concern other document(s) or inform	mation included:					
11. ☑ An Information Disclosure Statement under 37 CFR	1.97 and 1.98.	_ 1 )				
12. $\Box$ An assignment document for recording. A separate of	cover sheet in compliance with 37 CFR 3.28 and 3	.31 is included.				
13.  A FIRST preliminary amendment.						
☐ A SECOND or SUBSEQUENT preliminary amendm	ent.					
14. A substitute specification.						
15. A change of power of attorney and/or address letter						
16. 🖸 Other items or information: International Search Rep	oort and Form PCT/RO/101.					

			PSS HOC U	PCT/PTA 2 R	<del>DEP 2000 —</del>	
U.S. APPLICATION NO. if kno 37 C.F.R.1.5	S APPLICATION NO If known, see		ATTORNEY'S DOCKET NUMBER			
37CFR13	7	PCT/DE00/00821		10 29		
17∈⊠ The following fees are submitted:			CALCULATIONS   PTO USE ONLY			
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO						
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690 00						
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO				:		
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)						
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$ 860			
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$			
Claims	Number Filed	Number Extra	Rate	· · · · · · · · · · · · · · · · · · ·		
Total Claims	18 - 20 =	0	X \$18.00	\$ 0		
Independent Claims	4 - 3=	11	X \$80.00	\$ 80		
Multiple dependent claim	(s) (if applicable)		+ \$270.00	\$ 0	_	
TOTAL OF ABOVE CALCULATIONS =		\$ 940				
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		y statement must	\$			
SUBTOTAL =			\$ 940			
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$			
TOTAL NATIONAL FEE =			\$ 940			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		
		TOTAL FEES	ENCLOSED =	\$ 940		
3/2001 SMAJARRO 00000005 110600 09720761 Ref: 00000021 D9#: 110600 09720761				Amount to be refunded	\$	
Ref: 000000021 DR#: 12 C:970 860.00 C	0000			charged	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.						
b.   Please charge my Deposit Account No. 11-0600 in the amount of \$940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. Mathematical The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0600 A duplicate copy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:			or conjugate and			
		SIGNA	TURE	<del>}</del>		
Kenyon & Kenyon		D:aba	rd I Mayor Boa No	22.400		
One Broadway New York, New York 10004  Richard L. Mayer, Reg. N NAME  And			i			
		DATE				

## EXPRESS MAIL CERTIFICATE

"express mail" mailing label number $E130270261445$
DATE OF DEPOSIT December 28, 2000
TYPE OF DOCUMENT National Phase Application
serial no. Awaited Filing date Hewirth

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE, BY BEING HANDED TO A POSTAL CLERK OR BY BEING PLACED IN THE EXPRESS MAIL BOX BEFORE THE POSTED DATE OF THE LAST PICK UP, AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

BORIS POLANCO

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Title Method of Plasma Etching